

 <div style="text-align: center;"> <b>Financial Assistance Award</b>   <b>DENALI COMMISSION</b>          510 L Street, Suite 410          Anchorage, Alaska 99501          (907) 271-1414 (phone)          (907) 271-1415 (fax)          www.denali.gov       </div>		<b>Award Number</b>	01485-00																																																																													
		<b>Award Title</b>	START Communities Technical Assistance																																																																													
		<b>Performance Period</b>	November 1, 2015 through March 30, 2017																																																																													
<b>Authority</b> 112 Stat 1854	<b>CFDA Number</b> 90.100	<b><u>Recipient Organization &amp; Address</u></b> Alaska Energy Authority 813 W Northern Lights Blvd Anchorage, AK 99503-2407  <b>Phone:</b> 907-771-3000 <b>Recipient DUNS #</b> 054072608 <b>TIN #</b> 926001185																																																																														
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This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.																																																																																
<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed		<b>Typed Name and Title</b> Mr. Joel Neimeyer Federal Co-Chair		<b>Date</b>  03/02/2016																																																																												

# AWARD ATTACHMENTS

Alaska Energy Authority

01485-00

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1. AEA START Standard Terms & Conditions
  2. Attachment A

15 December 2015

**Financial Assistance Award Terms and Conditions  
Between the Denali Commission and Alaska Energy Authority  
For START Communities Technical Assistance  
Award No. 1485**

**1. Project Summary**

- a. Scope of Work: Additional energy planning in Strategic Technical Assistance Response Team (START) I and II communities, identified through a competitive process with the U.S. Department of Energy, Office of Indian Energy.
- b. Deliverables: Strategic energy plan.
- c. Budget: The Commission is making \$375,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct and indirect costs. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission.
- d. Delivery Method: Alaska Energy Authority (AEA) will perform work with in-house staff.
- e. Performance Period: The Period of Performance for this FAA is January 1, 2016 through March 30, 2017. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 13 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at [www.denali.gov](http://www.denali.gov).

More detailed information on scope, deliverables, budget, project delivery method and/or management plan, and schedule are included in Attachment A dated December 15, 2015.

**2. Project Reporting and Commission Site Visits**

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at [www.denali.gov/dcpdb](http://www.denali.gov/dcpdb).

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of

Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

### **3. Payments**

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

### **4. Modifications**

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions to the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

### **5. Financial Management, Record Keeping, Internal Controls, and Audits**

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

### **6. Direct and Indirect Costs**

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs

must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

## **7. Sub-Awards and Contracts**

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **8. Acknowledgement of Support**

The Recipient shall display a sign that acknowledges the Government's support for construction project(s) supported in whole or in part with Denali Commission funds. The Recipient shall include the Denali Commission as a financial contributor and project/program partner in all media correspondence related to all project(s) supported by the Denali Commission. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **9. Real and Personal Property**

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

## **10. Conflict of Interest**

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **11. Denali Commission Policies**

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

## **12. Laws and Regulations**

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

## **13. Other Provisions**

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

## **14. Special Provisions**

Progress Reports: Shall be submitted on a quarterly basis. The first reporting period is January 1, 2016 to March 30, 2016, and quarterly thereafter in accordance with the Commission's *Recipient Guidelines and Requirements*.

Key Staff: Jed Drolet, AEA

Federal Property Interests and Reporting Requirements: Do not apply to this award.

**15. Program Manager, Financial Manager & Other Contact Information**

<b>Denali Commission</b>	<b>Alaska Energy Authority</b>
Jodi Fondy Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: (907) 271-3011 Fax: (907) 271-1415 E-mail: <a href="mailto:jfondy@denali.gov">jfondy@denali.gov</a>	Kirk Warren Program Manager 813 W. Northern Lights Blvd. Anchorage, AK 99503 Phone: (907) 771-3000 Fax: (907) 771-3044 E-mail: <a href="mailto:kwarren@aidea.org">kwarren@aidea.org</a>
Nicole Woicikowski Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: <a href="mailto:nwoicikowski@denali.gov">nwoicikowski@denali.gov</a>	Amy Adler Financial Contact 813 W. Northern Lights Blvd. Anchorage, AK 99503 Phone: (907) 771-3013 Fax: (907) 771-3044 E-mail: <a href="mailto:aadler@aidea.org">aadler@aidea.org</a>

**Attachment A  
To The  
Financial Assistance Award Terms and Conditions  
Between the Denali Commission and Alaska Energy Authority  
For START Communities Technical Assistance**

- a. Scope of Work: This award provides funding for strategic energy planning and technical assistance in DOE/OIE START I and II communities. Grant activities shall not be initiated without written authorization by the Commission. The specific communities assigned to AEA will be selected through collaboration between the Commission, AEA and the START communities.
- b. Deliverables: A strategic energy plan based on the Commission's October 2015 *Strategic Energy Planning Guide*. A final report will be submitted via the Denali Commission Project Database detailing the entire project and outcomes.
- c. Budget: The Commission is making \$375,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct and indirect costs. Any indirect costs charged under this FAA must comply with 2 CFR 200 and the *Recipient Guidelines and Requirements* published by the Commission in July 2015. A detailed budget shall be developed by AEA and approved by the Commission prior to beginning work.
- d. Delivery Method: AEA will perform the work with in-house force account resources.

AEA agrees to cooperate and collaborate with the Denali Commission during the project, and understands that the Commission will be substantially involved in implementing the project. Specifically, the Commission will participate in, and/or provide direct assistance during the project related to selecting the communities assigned to AEA, data collection, and review of draft energy plans.

- e. Schedule: Project activities will begin early 2016 and be complete by March 2017.



**Attachment A  
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- d. Delivery Method: AEA will perform the work with in-house force account resources.  
  
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- e. Schedule: Project activities will begin winter 2015 and be complete by March 2017.